

FORM PTO-1390
REV. 5-93

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER
P01,0235

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/869839

INTERNATIONAL APPLICATION NO.
PCT/EP99/09756

INTERNATIONAL FILING DATE
30 November 1999

PRIORITY DATE CLAIMED
5 January 1999

TITLE OF INVENTION

CARDIAC PACEMAKER WITH ADJUSTABLE STIMULATION INTERVAL (AS AMENDED)

APPLICANT(S) FOR DO/EO/US

ROLAND HEINZE and KARL STANGL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached.
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2) -
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Un-executed
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (Separate envelope)
13. ☒ A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification, including red-lined version
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ Submission of drawings for Publication
 - B ☒ Express Mail Label EL 843729535US

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 24pt; font-weight: bold; margin-top: 10px;">09/869839</div>		INTERNATIONAL APPLICATION NO. PCT/EP99/09576		ATTORNEY'S DOCKET NUMBER P01,0235	
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO \$860.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00 Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1000.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$			
Claims	Number Filed	Number Extra	Rate				
Total Claims	15 - 20 =	0	X \$18.00	\$			
Independent Claims	1 - 3 =	0	X \$ 80.00	\$			
Multiple Dependent Claims			\$270.00 +	\$			
TOTAL OF ABOVE CALCULATIONS =				\$860.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$			
SUBTOTAL =				\$860.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
TOTAL NATIONAL FEE =				860.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +							
TOTAL FEES ENCLOSED =				\$860.00			
				Amount to be refunded	\$		
				charged	\$		

a. ☒ A check in the amount of \$860.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite
 Patent Department
 6600 Sears Tower
 Chicago, Illinois 60606
 Customer No. 26574

Steven H. Noll

SIGNATURE

NAME

28,982 (Registration No.)

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing label Number EL 843729535 US

Date of Deposit: July 5, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office To Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

BOX PCT
Hon. Assistant Commissioner for Patents
Washington DC 20231

Case Number: P01,0235

International Application No. PCT/EP99/09756

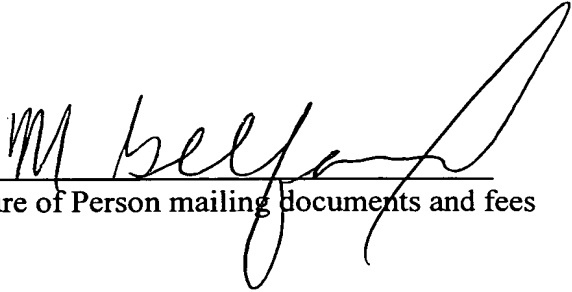
International Filing Date: 30 November 1999

Title: CARDIAC PACEMAKER WITH ADJUSTABLE STIMULATION INTERVAL (AS AMENDED)

Applicant: ROLAND HEINZE ET AL

Enclosed are the following documents:

International Application as filed, drawings, attached; translation thereof
Submission of Substitute Specification including Red-lined version
Information Disclosure Statement
Submission of Ready to Publish Drawings
PTO 1390 in duplicate;
Declaration (Un-executed)
Government Filing Fee
Postcard



Signature of Person mailing documents and fees

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BOX PCT
IN THE UNITED STATES DESIGNATED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

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SUBMISSION OF FORMAL DRAWINGS

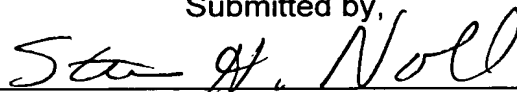
APPLICANT(S): Heinze et al.
ATTORNEY DOCKET NO. P01,0235
INTERNATIONAL APPLICATION NO: PCT/EP99/09756
INTERNATIONAL FILING DATE: November 30, 1999
10 INVENTION: "CARDIAC PACEMAKER WITH ADJUSTABLE
STIMULATION INTERVAL" (AS AMENDED)

Assistant Commissioner for Patents,
Washington, D.C. 20231

S I R:

15 Applicants herewith submit four sheets (Figs. 1-4) of formal drawings
for use in the above-referenced PCT application.

Submitted by,



(Reg. 28,982)

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SCHIFF, HARDIN & WAITE

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